

# Notice of a complaint against an AusIMM member(s)

Please use this form to lodge allegations that an AusIMM member has breached their obligations under the AusIMM By-Laws, Code of Ethics or other professional codes.

## COMPLAINANT DETAILS

Name:

Are you an AusIMM member?      Yes                  No                  AusIMM member number

Email address (*for contact purposes*)

Telephone number(s)

Signature:

Date

## NOTES ON COMPLAINT HANDLING

This Notice of Complaint is to be completed in full and submitted with any supporting documentation to [compliance@ausimm.com.au](mailto:compliance@ausimm.com.au).

This complaint will be processed in the manner required prescribed by the [AusIMM Professional Conduct Regulations](#).

Complaints must relate to AusIMM members' activities with respect to their activities as minerals professionals or members of the minerals industry. Any individual and/or corporate member(s) can be the subject of a complaint. In cases of serious breaches of ethical or legal obligations beyond minerals industry activities, the members' right to remain a member of the Institute may be considered.

All deliberations and determinations of the Professional Conduct Committee and Ethics Committee are strictly confidential, unless otherwise prescribe by the Professional Conduct Regulations. All parties involved in a complaint are bound by an ongoing requirement for confidentiality.

In promoting the highest professional ethics of its members, the AusIMM will describe the issues raised by complaints received in general terms in future communications to AusIMM members and other stakeholders on the operations of AusIMM's complaints systems. Annual reports summarising the activity of the Professional Conduct and Ethics Committees are published in The AusIMM Bulletin. The annual report contains information on the number and broad subject matter of complaints and actions taken.

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## MEMBER(S) WHOM THE COMPLAINT IS AGAINST

Name:

## WHAT IS THE NATURE OF THE COMPLAINT?

*This complaint alleges a breach of:*

AusIMM By-Laws  
Specific By-Law clause(s)

AusIMM Code of Ethics  
Specific Code of Ethics clause(s)

JORC Code Specific  
Specific JORC Code clause(s)

VALMIN Code  
Specific VALMIN Code clause(s)

Other Details

## COMPLAINT DETAILS

Please provide detailed information regarding specific allegations, provisions of the AusIMM By-Laws, Code of Ethics, Professional Codes (including JORC and VALMIN) or relevant legislation. Supporting evidence or documents can be provided as attachments to the complaint. If the matter relates to a Public Report or announcement, please provide a PDF copy as an attachment.